

Cavity Radiation, Photoelectric Effect, and Compton Effect

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<http://mypages.iit.edu/~johnsonpo/250310.pdf>

Microscopic Units

Coulomb's Law (SI):

$$V(r) = k \frac{q_1 q_2}{r}$$

where $k = 8.988 \times 10^9 \text{ J m /C}^2$,

Let us use the parameters in appropriate units:

- q_1, q_2 : Coulombs (C)
- r : meters (m)
- V : Joules (J)

Note: $rV(r)$ can be expressed in units of J m. Fundamental unit of charge:
 $e_0 = 1.602 \times 10^{-19} \text{ C}$. Thus

$$k e_0^2 = 8.988 \times 10^9 \times (1.602 \times 10^{-19})^2 \text{ J m}$$

Microscopic unit of energy: electron Volt (eV)

$$1 \text{ eV} = e_0 \times 1 \text{ Volt} = 1.602 \times 10^{-19} \text{ J}$$

or

$$k e_0^2 = 1.440 \times 10^{-9} \text{ eV m} = 1.440 \text{ eV nm}$$

Velocity of light

$$c = 2.998 \times 10^8 \text{ m/s} = 2.998 \times 10^{17} \text{ nm/s}$$

Cavity Radiation

Stefan-Boltzmann Law (Universal):

$$I(T) = \sigma T^4$$

where $I(T)$ is the flux per unit area (in Watts/m²) of electromagnetic radiation passing out of a small hole in a cavity that is held inside at Kelvin temperature T and

$$\sigma = 5.670 \times 10^{-8} \frac{\text{W}}{\text{m}^2 \text{K}^4}$$

The radiation from human body (treated as a ideal radiator) (internal temperature: 310 K and surface area of order 1 m²) is about 520 W, and the thermal output is an average of about 100 W. (2000 kcal/day). For thermal equilibrium of a person inside a tub, the “equilibrium temperature” is about 293 K.¹

Energy per unit volume inside cavity $u(T)$ can be determined.

$$I(T) = u(T) \times \frac{c}{4}$$

Energy per unit volume per unit frequency inside cavity $u(f, T)$ satisfies

$$I(f, T) = u(f, T) \times \frac{c}{4}$$

The factor $c/4$ arises from integration over a small neighborhood of the hole, because only particles very near the hole and traveling in the proper direction escape in an infinitesimal time interval. Note that

$$\int_0^\infty u(f, T) df = u(T)$$

Wien Displacement Law:

$$u(f, T) = \frac{8\pi f^3}{c^3} F(f/T)$$

¹Why do we “shiver” when submerged in water that is below a temperature of about 20 °C? Why is a hot tub “too hot” above 40 °C?

where F is a function of the ratio f/T .² The peak wavelength λ_{max} at temperature T satisfies the empirical relation

$$\lambda_{max} T = 3 \times 10^{-3} \text{ m K}$$

Inside the (hot) cavity at visible wavelengths, it is a very good fit to data to use

$$F(f/T) \approx \kappa e^{-\alpha f/T}$$

with suitable parameters κ and α .

This frequency distribution is independently measurable, and very important whenever light passes through or is reflected from matter.³

1900: Planck's quantum hypothesis:

Planck obtained the formula

$$F(f/T) = h / \left(e^{h f / (k T)} - 1 \right) \quad (1)$$

which reduced to the Wien formula for “high frequencies”, $h f \gg k T$. It also reproduced the low-frequency spectrum of cavity radiation, for the regime $h f \ll k T$, as well as in the transitional region in which these two quantities are of the same order of magnitude. Planck's formula was obtained by a remarkable assumption concerning the electromagnetic radiation inside the cavity:

Radiation inside cavity consists of quanta of electromagnetic radiation (photons), where the energy of a single photon is

$$E = h f$$

where f is the frequency in cycles per second (Hz) and h is Planck's constant

$$h = 6.626 \times 10^{-34} \text{ J sec} = 4.136 \times 10^{-15} \text{ eV sec}$$

²The name refers to the fact that, if f_{max} is defined as the maxima frequency in the spectrum of electromagnetic radiation inside a cavity held at temperature T , that “frequency peak” varies with temperature so that f_{max}/T does not change.

³Why do dogs (and people) swelter inside cars on hot summer days, when it is actually rather pleasant outside?

Planck asserted that each of the modes of electromagnetic radiation inside a cavity contains n quanta (photons), where $n = 0, 1, 2, \dots$, so that the energy of the n photon state is $n h f$. The relative probability of occupation of the n photon state is

$$P_n = \exp\left(\frac{-n h f}{k T}\right)$$

The Planck formula (1) follows, expressed in terms of a single parameter, h . It was a remarkable triumph, **but nobody in the world understood why it worked** – including Planck himself.⁴

One may express the photon energy in terms of the wavelength of light $\lambda = c/f$:

$$E = \frac{h c}{\lambda}$$

where $h c = (4.136 \times 10^{-15}) \times (2.998 \times 10^{17}) = 1240 \text{ eV nm}$

$$E(\text{eV}) = \frac{1240}{\lambda(\text{nm})}$$

Visible light $400 \text{ nm} \leq \lambda \leq 700 \text{ nm}$, or $E \approx 2 - 3 \text{ eV}$ for a single photon.

Photoelectric Effect

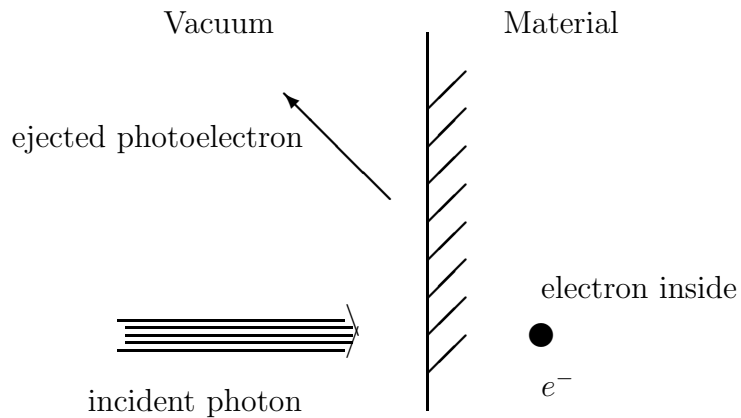
“Accidental Discovery”: Hertz 1896

Light strikes a metallic surface, and electrons come out of the material. Distinctive features observed:

- “Cold” emission (not thermionic or “hot” emission)
- Light comes out almost instantaneously (local heating?)
- Blue light more likely to produce photoelectrons than red. (Why the frequency dependence?)

⁴Planck’s quantum hypothesis was denounced by a number of outstanding research scientists (including Lord Rayleigh). Most physicists thought that he was “bonkers”.

- Some metals work better than others, but NOT because they are better conductors of electricity. (In fact, the more chemically active metals seem to work better.) Why?
- The effect is difficult to reproduce.
(the metallic surfaces must be VERY VERY clean. Why?)



Photoelectric Effect

Tentative Explanation: Einstein (1905)⁵

- “Billiard ball” collision between one electron and one quantum of light – “photon”.
- Kinetic energy of incident photon equals the kinetic energy of the emitted photo-electron $h f$, subtracted by the energy lost by the electron in escaping from the material. The **maximum** kinetic energy of the electron is called K_{max} , and the **minimum** energy that can be lost in getting out of the material, W , the work function of the material in question.
- According to Einstein,

$$K_{max} = h f - W \quad (2)$$

⁵Albert Einstein was “Mr. Nobody” when he published this result.

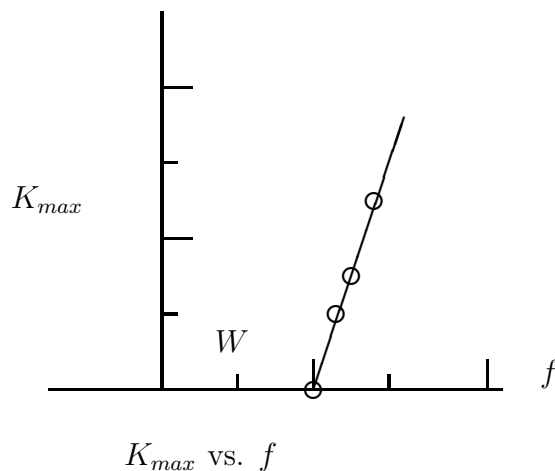
At a given frequency f , measure K_{max} . Note that K_{max} changes with frequency.

”stopping potential” in Volts; multiply by e_0 to convert to eV.

Typical Data:

λ (nm)	$f = c/\lambda$ (Hz)	K_{max} (eV)
300	10.0×10^{14}	1.9
400	7.5×10^{14}	0.9
500	6.0×10^{14}	0.4
580	5.2×10^{14}	0.
600	5.0×10^{14}	–

According to Eq.(2), a graph of f versus K_{max} should be a straight line of slope $1/h$ and intercept ($W = 0$) with the abscissa, $f = f_0$. That is, the formula $W = h f_0$, as shown



For this case $k_{max} = 0$ when $f = 5.2 \times 10^{14}$ Hz, so that⁶

$$h c = W \lambda_{min} = (2.1) \times 580 \approx 1280 \text{ eV sec}$$

⁶Close, but no cigar!

While the theoretical result is conceptually simple if you happen to believe in photons as little pieces of light⁷, its experimental verification was exceedingly difficult at the time. Why? Millikan (1913) was able to confirm the Einstein formula.

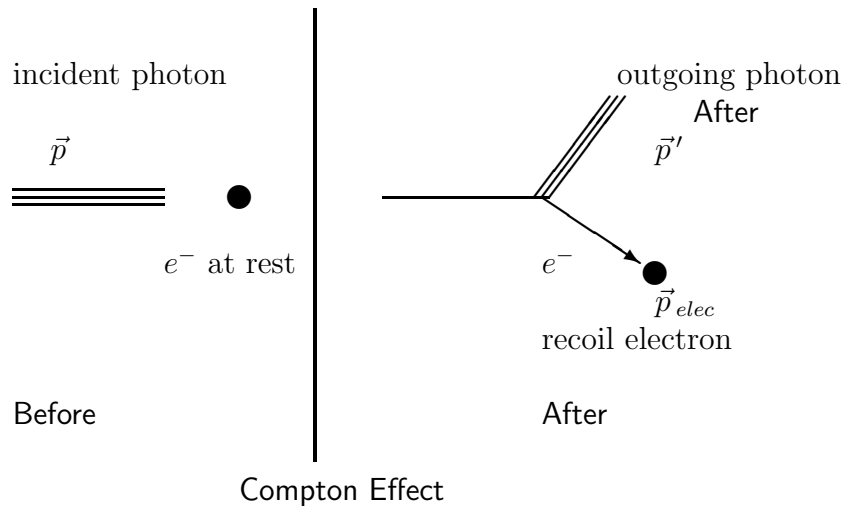
Einstein's explanation of the photoelectric effect assumes that a single photon collides with a single electron in the material. However, many many photons hit the material surface (of order 10^{18} per second in a one Watt source of visible light), it is essentially impossible to detect a single "billiard ball" collision.

How do you get around this serious obstacle?

SIMPLE: just use x-rays, in which a individual photon has a few keV of electrical energy, and can produce an image on a cathode ray tube.

Compton Effect (1921)

Billiard ball collision between a single electron and a single photon:



The (Relativistic) Momentum \vec{p} and energy E for a particle may be expressed in terms of its rest mass m and velocity \vec{v} .

⁷Otherwise, it would be sheer nonsense.

$$E = \frac{m c^2}{\sqrt{1 - v^2/c^2}}$$

$$\vec{p} = \frac{m \vec{v}}{\sqrt{1 - v^2/c^2}}$$

The equivalent inverse relations are

$$E^2 = c^2 p^2 + m^2 c^4$$

$$\vec{v} = \frac{c^2 \vec{p}}{E}$$

Although the direct relations have an indeterminate form 0/0 in the simultaneous limit

$$m \rightarrow 0 \quad \text{as well as} \quad |v| \rightarrow c$$

the inverse relations are well-defined in the massless limit $m = 0$; namely

$$E = c p$$

$$|\vec{v}| = c$$

Thus, for the electron-photon collision we have

$$E_{elec} = \sqrt{c^2 p_{elec}^2 + m^2 c^4} \quad \text{and} \quad E_\gamma = c p_\gamma$$

According to **Onkel Albert**, the total (relativistic) momentum and energy must both be conserved in any collisional process ... **no matter what the dynamical details may happen to be.**

Thus for our collision, we require they must be the same before and after the collision:

$$E + m c^2 = E' + E_{elec}$$

$$\vec{p} = \vec{p}' + \vec{p}_e$$

It is extremely difficult to see the recoil electron, which was initially at rest in the material – one of many in that category. However, one can detect

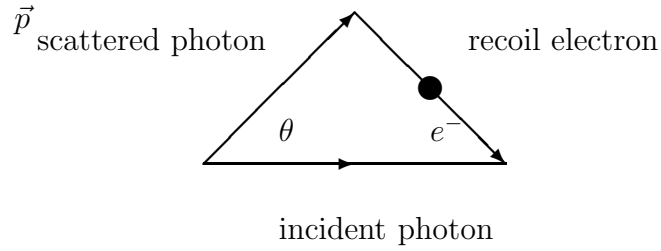
a beam of x-rays moving in a particular direction. Thus, it is kinematically wise to eliminate both the energy and the momentum of that recoil electron, using the formula

$$m^2 c^4 = E_{elec}^2 - c^2 p_{elec}^2 \quad (3)$$

We cast the conservation equations into the form

$$\begin{aligned} E_{elec} &= E - E' + m^2 c^4 \\ \vec{p}_{elec} &= \vec{p} - \vec{p}' \end{aligned} \quad (4)$$

The second relation among vector quantities may be visualized in terms of the Momentum Triangle:



Momentum Triangle

Since a triangle can always be embedded in some planar surface, we can and will use the Law of Cosines to relate the length of the side, p_{elec} opposite to the inside angle θ to the lengths of the adjacent sides, p and p' :

$$p_{elec}^2 = p^2 + p'^2 - 2pp' \cos \theta \quad (5)$$

We apply (5), as well as (4), to express (3) in the following form:

$$m^2 c^2 = (p - p' + mc)^2 - p^2 - p'^2 + 2pp' \cos \theta$$

Equivalently:

$$mc(p - p') = pp'(1 - \cos \theta)$$

or

$$\frac{1}{p'} - \frac{1}{p} = \frac{1}{mc} (1 - \cos \theta)$$

Since photons are massless, we get the following equivalent relations the incoming photon energy ($E = cp$) and that of the outgoing photon traveling at angle θ to the incident direction ($E' = cp'$):

$$\frac{1}{m c^2} (1 - \cos \theta) = \frac{1}{E'} - \frac{1}{E} \quad (6)$$

We multiply this formula by the factor $h c$ to convert the energy (in eV) into the wavelengths λ and λ' of the incoming and outgoing photons, respectively, to obtain the celebrated Compton equation

$$\lambda' - \lambda = \frac{h}{m c} (1 - \cos \theta) \quad (7)$$

According to this formula, the outgoing photon is deflected into some angle θ lose energy – that their final energy increases with θ